

#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Charles E. Taylor and Jim L. Lee

Appl. No.: 10/074,209

Confirm. No.: 4379

Filed: February 12, 2002

Title: ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER
DEVICES WITH TRAILING ELECTRODE

PATENT APPLICATION

Art Unit: 1741

Examiner: Unassigned

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Box Missing Parts, Commissioner for Patents, Washington, DC 20231**, on June 6, 2002.

(Attorney Signature)

Sheldon R. Meyer, Reg. No. 27,660
Signature Date: June 6, 2002

REQUEST FOR CORRECTION OF FILING RECEIPT

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

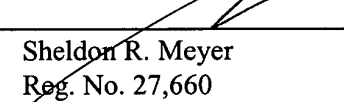
An Amended Filing Receipt is requested in accordance with the additional priority claim indicated in the accompanying Preliminary Amendment. A copy of the existing Filing Receipt is attached with desired corrections written in.

No fee is required with this communication.

- ☒ The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: June 6, 2002

By: 
Sheldon R. Meyer
Reg. No. 27,660

FLIESLER DUBB MEYER & LOVEJOY LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
Telephone: (415) 362-3800

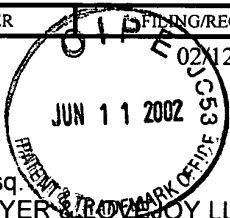


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/074,209	02/12/2002	Charles E. Taylor	SHPR-01041USM SRM/SDS

Sheldon R. Meyer, Esq.
FLIESLER DUBB MEYER & LOVEJOY LLP
Fourth Floor
Four Embarcadero Center
San Francisco, CA 94111-4156



RECEIVED

MAR 11 2002

FLIESLER DUBB,
MEYER & LOVEJOY

CONFIRMATION NO. 4379

FORMALITIES LETTER



OC00000007589717

Date Mailed: 03/06/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/14/2002 EAREGAY1 00000039 10074209

01 FC:105

130.00 OP



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
--------------------	-------------	--------------	---------------	-----------------	----------	------------	------------

10/074,209 ✓	02/12/2002 ✓	1741	3038	SHPR- 01041USM ✓ SRM/SDS	32	101 ✓	13 ✓
--------------	--------------	------	------	--------------------------------	----	-------	------

RECEIVED

CONFIRMATION NO. 4379 ✓

Sheldon R. Meyer, Esq.
FLIESLER DUBB MEYER & LOVEJOY LLP
Fourth Floor
Four Embarcadero Center
San Francisco, CA 94111-4156

MAR 11 2002

FLIESLER DUBB,
MEYER & LOVEJOY

FILING RECEIPT



OC000000007589716

Date Mailed: 03/06/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Charles E. Taylor, Residence Not Provided; ✓
Jim L. Lee, Residence Not Provided;

Domestic Priority data as claimed by applicant

60/341,090 12/13/01 ✓
THIS APPLN CLAIMS BENEFIT OF 60/341,518 12/13/2001 * ✓
AND CLAIMS BENEFIT OF 60/306,479 07/18/2001 ✓
AND A CIP OF 09/924,624 08/08/2001 ✓
WHICH IS A CON OF 09/564,960 05/04/2000 PAT 6,350,417
WHICH IS A CIP OF 09/186,471 11/05/1998 PAT 6,176,977 ✓
(*) Data inconsistent with PTO records.
THIS APPLICATION IS ALSO A CIP OF 09/730,499 12/5/2000 WHICH IS A
(CONTINUATION) OF 09/186,471 PAT 6,176,977

Foreign Applications

If Required, Foreign Filing License Granted 03/06/2002 ✓

Projected Publication Date: To Be Determined - pending completion of Missing Parts ✓

Non-Publication Request: No ✓

Early Publication Request: No ✓

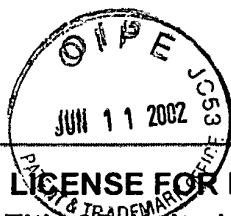
Fliesler, Dubb, Meyer & Lovejoy
File: SHPR - 01041 USM
Action Item: ACTION # 17
Date Due: April 12, 2003
Critical Date: April 12, 2003
Attorney Path: SRM/SDS
Jockeyed By: mpr
Serialized By: mels

Title

Electro-kinetic air transporter-conditioner devices with trailing electrode ✓

Preliminary Class

422

**LICENSE FOR FOREIGN FILING UNDER****Title 35, United States Code, Section 184****Title 37, Code of Federal Regulations, 5.11 & 5.15****GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



Receipt #3
✓ \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Charles E. Taylor and Jim L. Lee
Appl. No.: 10/074,209
Confirm. No.: 4379
Filed: February 12, 2002
Title: ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER
DEVICES WITH TRAILING ELECTRODE

PATENT APPLICATION

Art Unit: 1741
Examiner: Unassigned

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to **Box Missing Parts, Commissioner for Patents, Washington, DC 20231**, on June 6, 2002.

Sheldon R. Meyer, Reg. No. 27,660
Signature Date: June 6, 2002

(Attorney Signature)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application -- Filing Date Granted, dated March 6, 2002, enclosed are the following documents in connection with the above-identified application:

- ✓ Copy of Notice to File Missing Parts -- Filing Date Granted
- ✓ Declaration for C-I-P Patent Application
- ✓ Information Disclosure Statement under 37 C.F.R. § 1.56 with cited references
- ✓ Petition for Extension of Time Under 37 C.F.R. § 1.136
- ✓ Preliminary Amendment
- ✓ Request for Correction of Filing Receipt and Copy of Filing Receipt with corrections

12

Total Fee

The Total Fee associated with this communication has been calculated as shown below:

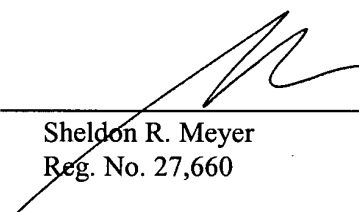
<u> </u>	Assignment recording fee (\$40.00)	
<u>✓</u>	Surcharge under 37 C.F.R. §1.16(e) for late filing of filing fee or Declaration:	
<u> </u>	<u>✓</u> Large Entity	\$130.00
<u>✓</u>	Petition for Extension of Time Under 37 C.F.R. § 1.136	<u>\$110.00</u>
TOTAL FEE DUE:		\$240.00

Method of Payment of Fees

- ✓ A check in the amount of the TOTAL FEE DUE is enclosed.
- ✓ The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: June 6, 2002

By: 
Sheldon R. Meyer
Reg. No. 27,660

FLIESLER DUBB MEYER & LOVEJOY LLP
Four Embarcadero Center, Fourth Floor
San Francisco, California 94111-4156
Telephone: (415) 362-3800